

CITY OF ROUND ROCK, TEXAS
CITY OFFICIAL
FINANCIAL DISCLOSURE STATEMENT
FOR THE REPORTING PERIOD
2013
(Year)

Rec'd
NOV 21 2014

This form is required to be completed by every candidate who declares for any office of the City to be filled by election:

This statement is due within fifteen (15) days of the date the candidate declares his candidacy.

This statement is required by Chapter 2, Article III of the Round Rock City Code. Please refer to section 2-120 for specific requirements and to section 2-117 for definition of terms.

Where additional space is required, please attach separate pages.

Please fill in all blanks. If a requested item does not apply, write "N/A."

FINANCIAL DISCLOSURE FOR: 2013

A. Name of Candidate: Frank Lloyd Leffingwell
Office Sought: City Council, Place 3
Residence Address: 1948 Savannah Drive
Round Rock, Texas 78681
Business Address: _____

Telephone Numbers:
Home: () _____
Work: () _____
Cell: (512) 842-7554 _____
Name of Spouse: Bethany A. Leffingwell

Name(s) of all dependent minor children:

Blake Leffingwell

Claire Leffingwell

Name(s) under which you, your spouse, and/or
your dependent minor children do business:

Frank L. Leffingwell & Associates, PC

Applied Reasoning, LLC

FBBC Investments, LLC

BL Consulting, LLC

Friar Park Holdings LLC (voluntarily dissolved on October 28, 2014)

LAPC Investments LLC (voluntarily dissolved on October 28, 2014)

B. Identify by street address, and legal description, all real property located within the City of Round Rock or its extraterritorial jurisdiction in which you have a substantial interest.

1. Identification of property: 1948 Savannah Dr., Round Rock, Texas 78681
(legal description attached as Exhibit A)

2. Identification of property: 211 W. Bagdad Ave., Round Rock, Texas 78664
(legal description attached as Exhibit B)

****This property is owned by FBBC Investments, LLC, an entity in which Frank L. Leffingwell owns an interest.**

3. Identification of property: N/A

4. Identification of property: N/A

5. Identification of property: N/A

(attach separate page if necessary)

SEPARATE PAGE

- B. Identify by street address, and legal description, all real property located within the City of Round Rock or its extraterritorial jurisdiction in which you have a substantial interest.

6. Identification of property: N/A

7. Identification of property: N/A

8. Identification of property: N/A

9. Identification of property: N/A

10. Identification of property: N/A

C. Identify each business entity owning property or doing business within the City of Round Rock or its extraterritorial jurisdiction in which you have a substantial interest:

1. Name of entity: Frank L. Leffingwell & Associates, PC
Address of entity's principal place of business: 211 W. Bagdad Ave.
Round Rock, Texas 78664
Type of entity (corporation, partnership, etc.): Professional corporation
Date of existence: December 30, 2008
State of incorporation (if applicable): Texas
Names of partners or trustees (if any): Frank L. Leffingwell
2. Name of entity: FBBC Investments, LLC
Address of entity's principal place of business: 211 W. Bagdad Ave.
Round Rock, Texas 78664
Type of entity (corporation, partnership, etc.): Limited liability company
Date of existence: March 19, 2010
State of incorporation (if applicable): Texas
Names of partners or trustees (if any): Frank L. Leffingwell; Bethany Leffingwell
3. Name of entity: Applied Reasoning, LLC
Address of entity's principal place of business: 211 W. Bagdad Ave.
Round Rock, Texas 78664
Type of entity (corporation, partnership, etc.): Limited liability company
Date of existence: March 21, 2007
State of incorporation (if applicable): Texas
Names of partners or trustees (if any): Frank L. Leffingwell; John Kinnaird

(attach separate page if necessary)

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C. Identify each business entity owning property or doing business within the City of Round Rock or its extraterritorial jurisdiction in which you have a substantial interest:

4. Name of entity: Friar Park Holdings LLC (voluntarily dissolved on October 28, 2014)
Address of entity's principal place of business: 211 W. Bagdad Ave.
Round Rock, Texas 78664
Type of entity (corporation, partnership, etc.): Limited liability company
Date of existence: January 1, 2013
State of incorporation (if applicable): Texas
Names of partners or trustees (if any): Frank L. Leffingwell; Bethany Leffingwell
5. Name of entity: LAPC Investments LLC (voluntarily dissolved on October 28, 2014)
Address of entity's principal place of business: 211 W. Bagdad Ave.
Round Rock, Texas 78664
Type of entity (corporation, partnership, etc.): Limited liability company
Date of existence: December 11, 2012
State of incorporation (if applicable): Texas
Names of partners or trustees (if any): Frank L. Leffingwell
6. Name of entity: BL Consulting LLC
Address of entity's principal place of business: 211 W. Bagdad Ave.
Round Rock, Texas 78664
Type of entity (corporation, partnership, etc.): Limited liability company
Date of existence: January 9, 2013
State of incorporation (if applicable): Texas
Names of partners or trustees (if any): Bethany Leffingwell

D. Identify each person or business entity to whom you, your spouse, or your dependent minor children owe a debt of \$10,000.00 or more, but not including debts owed to persons related within the second degree of consanguinity or affinity and excluding loans to a political campaign which were reported or required by law:

1. Name of person or business entity: First Texas Bank
Address: 500 Round Rock Avenue, Round Rock, Texas 78664
If repaid during reporting period, date of repayment: Business line of credit; repaid periodically
2. Name of person or business entity: Independent Bank
Address: 4420 Sunrise Road, Round Rock, Texas 78665
If repaid during reporting period, date of repayment: Business line of credit - repaid periodically; Mortgage - amortized payments of principal and interest are paid monthly
3. Name of person or business entity: American Express
Address: P.O. Box 981540, El Paso, Texas 79998-1540
If repaid during reporting period, date of repayment: Paid monthly
4. Name of person or business entity: Everett Financial, Inc. DBA Supreme Lending
Address: 14801 Quorum Drive, Suite 300, Dallas, Texas 75254
If repaid during reporting period, date of repayment: Amortized payments of principal and interest are paid monthly
5. Name of person or business entity: Cadence Bank
Address: 2202 Timberloch Place, Suite 125, The Woodlands, Texas 77380
If repaid during reporting period, date of repayment: Amortized payments of principal and interest are paid monthly
6. Name of person or business entity: USAA
Address: 9800 Fredericksburg Road, San Antonio, Texas 78288
If repaid during reporting period, date of repayment: Amortized payments of principal and interest are paid monthly

(attach separate page if necessary)

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- D. Identify each person or business entity to whom you, your spouse, or your dependent minor children owe a debt of \$10,000.00 or more, but not including debts owed to persons related within the second degree of consanguinity or affinity and excluding loans to a political campaign which were reported or required by law:

7. Name of person or business entity: N/A
Address: _____
If repaid during reporting period, date of repayment: _____
8. Name of person or business entity: N/A
Address: _____
If repaid during reporting period, date of repayment: _____
9. Name of person or business entity: N/A
Address: _____
If repaid during reporting period, date of repayment: _____
10. Name of person or business entity: N/A
Address: _____
If repaid during reporting period, date of repayment: _____
11. Name of person or business entity: N/A
Address: _____
If repaid during reporting period, date of repayment: _____
12. Name of person or business entity: N/A
Address: _____
If repaid during reporting period, date of repayment: _____

E. Identify each source of income amounting to ten percent (10%) or more of your or your spouse's or your dependent minor children's gross annual income.

1. Name of source: Frank L. Leffingwell & Associates, PC
Source's address: 211 W. Bagdad Ave.
Round Rock, Texas 78664
Type of entity (if applicable): Professional corporation
Date entity came into existence (if applicable): December 30, 2008
State of incorporation (if applicable): Texas
Names of partners or trustees (if applicable): Frank L. Leffingwell
2. Name of source: Applied Reasoning, LLC
Source's address: 211 W. Bagdad Ave.
Round Rock, Texas 78664
Type of entity (if applicable): Limited liability company
Date entity came into existence (if applicable): March 19, 2010
State of incorporation (if applicable): Texas
Names of partners or trustees (if applicable): Frank L. Leffingwell; John Kinnaird
3. Name of source: BL Consulting LLC
Source's address: 211 W. Bagdad Ave.
Round Rock, Texas 78664
Type of entity (if applicable): Limited liability company
Date entity came into existence (if applicable): January 9, 2013
State of incorporation (if applicable): Texas
Names of partners or trustees (if applicable): Bethany Leffingwell

(attach separate page if necessary)

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E. Identify each source of income amounting to ten percent (10%) or more of your or your spouse's or your dependent minor children's gross annual income.

4. Name of source: N/A

Source's address: _____

Type of entity (if applicable): _____

Date entity came into existence (if applicable): _____

State of incorporation (if applicable): _____

Names of partners or trustees (if applicable): _____

5. Name of source: N/A

Source's address: _____

Type of entity (if applicable): _____

Date entity came into existence (if applicable): _____

State of incorporation (if applicable): _____

Names of partners or trustees (if applicable): _____

6. Name of source: N/A

Source's address: _____

Type of entity (if applicable): _____

Date entity came into existence (if applicable): _____

State of incorporation (if applicable): _____

Names of partners or trustees (if applicable): _____

F. Identify the donor of each gift of more than one hundred fifty dollars (\$150.00) in value received by you or your spouse or your dependent minor children, including the value of the gift, where such donor has appeared before and requested action of the City Council during the reporting period.

1. Recipient of gift: N/A
Value of gift: _____
Name of source: _____
Address of source: _____
Type of entity (if applicable): _____
Date entity came into existence (if applicable): _____
State of incorporation (if applicable): _____
Names of partners or trustees (if applicable): _____

2. Recipient of gift: N/A
Value of gift: _____
Name of source: _____
Address of source: _____
Type of entity (if applicable): _____
Date entity came into existence (if applicable): _____
State of incorporation (if applicable): _____
Names of partners or trustees (if applicable): _____

(attach separate page if necessary)

SEPARATE PAGE

- F. Identify the donor of each gift of more than one hundred fifty dollars (\$150.00) in value received by you or your spouse or your dependent minor children, including the value of the gift, where such donor has appeared before and requested action of the City Council during the reporting period.

3. Recipient of gift: N/A
- Value of gift: _____
- Name of source: _____
- Address of source: _____
- Type of entity (if applicable): _____
- Date entity came into existence (if applicable): _____
- State of incorporation (if applicable): _____
- Names of partners or trustees (if applicable): _____
4. Recipient of gift: N/A
- Value of gift: _____
- Name of source: _____
- Address of source: _____
- Type of entity (if applicable): _____
- Date entity came into existence (if applicable): _____
- State of incorporation (if applicable): _____
- Names of partners or trustees (if applicable): _____

G. Identify the donor of two or more gifts of an accumulated value of six hundred dollars (\$600.00) or more received by you, your spouse, or your dependent minor children, including the value of the gift, where such donor has appeared before and requested action of the City Council during the reporting period.

1. Recipient of gift: N/A
Value of gift: _____
Name of source: _____
Address of source: _____
Type of entity (if applicable): _____
Date entity came into existence (if applicable): _____
State of incorporation (if applicable): _____
Names of partners or trustees (if applicable): _____

2. Recipient of gift: N/A
Value of gift: _____
Name of source: _____
Address of source: _____
Type of entity (if applicable): _____
Date entity came into existence (if applicable): _____
State of incorporation (if applicable): _____
Names of partners or trustees (if applicable): _____

(attach separate page if necessary)

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- G. Identify the donor of two or more gifts of an accumulated value of six hundred dollars (\$600.00) or more received by you, your spouse, or your dependent minor children, including the value of the gift, where such donor has appeared before and requested action of the City Council during the reporting period.

3. Recipient of gift: N/A
- Value of gift: _____
- Name of source: _____
- Address of source: _____
- Type of entity (if applicable): _____
- Date entity came into existence (if applicable): _____
- State of incorporation (if applicable): _____
- Names of partners or trustees (if applicable): _____
- _____
- _____
4. Recipient of gift: N/A
- Value of gift: _____
- Name of source: _____
- Address of source: _____
- Type of entity (if applicable): _____
- Date entity came into existence (if applicable): _____
- State of incorporation (if applicable): _____
- Names of partners or trustees (if applicable): _____
- _____
- _____

H. Identify all individuals or business entities that (1) you or a business entity in which you have a substantial interest have had business dealings involving one or more transactions of \$500.00 or more each, for a total of \$2,500.00 or more and (2) have appeared before and requested action of the City Council during the reporting period. (Identification shall include individuals who have an ownership interest of twenty-five percent (25%) or more in a business entity which you have had business dealings involving \$2,500.00 or more and who appeared before and requested some action on the part of the City Council, even though the action does not concern such business entity.)

1. Name of individual or business entity: Round Rock Chamber of Commerce, Inc.

Address: 212 E. Main St., Round Rock, Texas 78664

Type of entity (if applicable): Non-profit corporation

Date entity came into existence (if applicable): May 10, 1978

State of incorporation (if applicable): Texas

Names of partners or trustees (if applicable): See attached Exhibit C

2. Name of individual or business entity: N/A

Address: _____

Type of entity (if applicable): _____

Date entity came into existence (if applicable): _____

State of incorporation (if applicable): _____

Names of partners or trustees (if applicable): _____

(attach separate page if necessary)

SEPARATE PAGE

- H. Identify all individuals or business entities that (1) you or a business entity in which you have a substantial interest have had business dealings involving one or more transactions of \$500.00 or more each, for a total of \$2,500.00 or more and (2) have appeared before and requested action of the City Council during the reporting period. (Identification shall include individuals who have an ownership interest of twenty-five percent (25%) or more in a business entity which you have had business dealings involving \$2,500.00 or more and who appeared before and requested some action on the part of the City Council, even though the action does not concern such business entity.)

3. Name of individual or business entity: N/A

Address: _____

Type of entity (if applicable): _____

Date entity came into existence (if applicable): _____

State of incorporation (if applicable): _____

Names of partners or trustees (if applicable): _____

4. Name of individual or business entity: N/A

Address: _____

Type of entity (if applicable): _____

Date entity came into existence (if applicable): _____

State of incorporation (if applicable): _____

Names of partners or trustees (if applicable): _____

Signed this 21st day of November, 2014.


(signature)

Frank Lloyd Leffingwell
(print or type name)

VERIFICATION

STATE OF TEXAS §
 §
COUNTY OF WILLIAMSON §

BEFORE ME, the undersigned Notary Public, on this day personally appeared
Frank Leffingwell, known to me, and after being duly
sworn, stated on oath that the foregoing and annexed Financial Disclosure for 2013
is within the knowledge of affiant and is true and correct.

SWORN TO AND SUBSCRIBED TO BEFORE ME on this 21st day of November, 2014.





Notary Public, State of Texas
Printed Name: Sara L. White
My Commission Expires: July 11, 2016

EXHIBIT A
LEFFINGWELL PROPERTY LEGAL DESCRIPTION:

LOT 27, BLOCK B, PLANTATION SECTION II REPLAT OF BLOCK B LOTS 15-24, 26, & 27, A SUBDIVISION IN WILLIAMSON COUNTY, TEXAS, ACCORDING TO THE MAP OR PLAT THEREOF RECORDED IN CABINET N, SLIDES 357-359, PLAT RECORDS OF WILLIAMSON COUNTY, TEXAS.

EXHIBIT B
FBBC INVESTMENTS, LLC PROPERTY LEGAL DESCRIPTION:

LOTS 11 AND 12, AND THE WEST TWELVE FEET (12') OF 13, BLOCK 2, OF CITY OF ROUND ROCK, WILLIAMSON COUNTY, TEXAS, ACCORDING TO THE MAP OR PLAT THEREOF OF RECORD IN VOLUME 25, PAGE 314-315 DEED RECORDS, WILLIAMSON COUNTY, TEXAS, AND NOW RECORDED IN CABINET A, SLIDE 190, PLAT RECORDS, WILLIAMSON COUNTY, TEXAS, AND THE NORTH ONE-HALF (1/2) OF THAT CERTAIN 20' WIDE ALLEY ADJACENT TO SAID LOTS IN BLOCK 2, CAVATED SEPTEMBER 27, 1984 BY THE CITY OF ROUND ROCK IN ORDINANCE NO. 2134 AND RECORDED UNDER DOCUMENT NO. 9741149, OFFICIAL PUBLIC RECORDS, WILLIAMSON COUNTY, TEXAS AND BEING MORE FULLY DESCRIBED BY METES AND BOUNDS AS FOLLOWS:

BEING LOTS 11 AND 12, AND THE WEST TWELVE FEET (12') OF LOT 13, BLOCK 2 OF THE CITY OF ROUND ROCK, WILLIAMSON COUNTY, TEXAS, ACCORDING TO THE MAP OR PLAT THEREOF RECORDED IN VOLUME 25, PAGES 314-315, DEED RECORDS, WILLIAMSON COUNTY, TEXAS, (NOW RECORDED IN CABINET A, SLIDE 190, PLAT RECORDS, WILLIAMSON COUNTY, TEXAS), AND THE NORTH ONE-HALF (1/2) OF THAT CERTAIN TWENTY (20) FOOT WIDE ALLEY ADJACENT TO SAID LOTS IN BLOCK 2 VACATED 9-27-84 BY THE CITY OF ROUND ROCK ORDINANCE 2134 AND RECORDED AS DOCUMENT 9741149, OFFICIAL RECORDS, WILLIAMSON COUNTY, TEXAS, AND BEING MORE PARTICULARLY DESCRIBED BY METES AND BOUNDS AS FOLLOWS, TO WIT:

BEGINNING at a magnetized concrete nail set at the northwest corner of Lot 11, Block 2, Original Town of Round Rock, said nail being at the intersection of the east line of Brown Street and the south line of Bagdad Avenue;

THENCE N 72° 42' 59" E a distance of 66.80 feet with the south line of Bagdad Avenue to an iron rod found at the northeast corner hereof in the north line of Lot 13;

THENCE S 17° 25' 00" E a distance of 134.92 feet, crossing said Lot 13, to a 1/2" dia. iron rod set at the southeast corner hereof in the centerline of said vacated and abandoned alley;

THENCE 72° 59' 47" W a distance of 67.21 feet with the centerline of said alley to a 1/2" dia. iron rod set at the southwest corner hereof in the east line of Brown Street;

THENCE N 17° 14' 41" W a distance of 134.59 feet with the east line of Brown Street to the Place of Beginning.

EXHIBIT C
ROUND ROCK CHAMBER OF COMMERCE, INC.
MEMBERS OF THE BOARD OF DIRECTORS:

Nelson Avery	Steve Stapp
Jim Boles	Terry Swets
Donna Budak	Louella Tate
Jill Cacic	Andy Webb
Michelle Cervantes	Lora Weber
Jason Cole	Kris Whitfield
Michael Doss	
Amy Ellsworth	
Rene Flores	
Steve Flores	
Edward Hanna	
David Hays	
John Howard	
Lora Kaasch	
Amanda Keeter	
Celeste Lesmeister	
Ron Martin	
Edna Rehbein	
Lisa Richardson	
Michelle Roebuck	
Lori Scott	
Cathy Sheridan	
Nancy Simpson	
Bryant Smith	